



Laugh and Learn Pre-School Providers and Children's Records Policy

General Welfare Requirements in accordance with the EYFS

Providers must maintain records and obtain and share information to ensure the safe and efficient management of the setting, and to help ensure the needs of all children are met.

Policy Statement

Providers Records

At Laugh and Learn we keep records and documentation for the purpose of maintaining our business. These include:

- ❖ Records pertaining to our registration.
- ❖ Landlord/lease documents and other contractual documentation pertaining to amenities, services and goods.
- ❖ Financial records pertaining to income and expenditure.
- ❖ Risk assessments.
- ❖ Employment records of our staff including their name, home address and telephone number.
- ❖ Names, addresses and telephone numbers of anyone else who is regularly in unsupervised contact with the children.

We consider our records as confidential based on the sensitivity of information, such as with employment records. These confidential records are maintained with regard to the framework of the General Data Protection Regulations (2018), further details are given in our Privacy Notice and the Human Rights Act (1998).

Children's Records

We also have record keeping systems in place that meet legal requirements; the means we use to store and share that information takes place within the framework of the General Data Protection Regulations (GDPR) (2018) and the Human Rights Act (1998).

This policy and procedure should be read alongside our Privacy Notice, Confidentiality and Client Access to Records Policy and our Information Sharing Policy.

Procedures

Providers Records

- ❖ All records are the responsibility of the Owner/Manager who ensures they are kept securely.
- ❖ All our records are kept in an orderly way in files and filing is kept up-to-date.
- ❖ Our financial records are kept up-to-date for audit purposes.
- ❖ We maintain health and safety records; these include risk assessments, details of checks or inspections and guidance etc.
- ❖ Our Ofsted registration certificate is displayed.
- ❖ Our Public Liability insurance certificate is displayed.
- ❖ All our employment and staff records are kept securely and confidentially.

We notify Ofsted of any:

- ❖ change in the address of our premises;
- ❖ change to our premises which may affect the space available to us or the quality of childcare we provide;
- ❖ change to the name and address of the registered provider, or the provider's contact information, address or contact information;
- ❖ Any change to the person managing our provision;
- ❖ Any significant event which is likely to affect our suitability to look after children; or
- ❖ other event as detailed in the *Statutory Framework for the Early Years Foundation Stage* (DfE 2017).

Children's Records

If a child attends another setting, we establish a regular two-way flow of appropriate information with parents and other providers. Where appropriate, we will incorporate comments from other providers, as well as parents and/or carers into the child's records.

We keep two kinds of records on children attending our setting:

Developmental records

- ❖ These include observations of children in the setting, photographs, samples of their work and summary developmental reports.
- ❖ These are usually kept in the Child's online Learning Journal or file and can be accessed, and contributed to, by our staff, the child and the child's parents where appropriate.

Personal records

These may include the following (as applicable):

- ❖ Personal details - including the child's registration form and any consent forms.
- ❖ Contractual matters - including a copy of the signed parent contract, the child's days and times of attendance, a record of the child's fees, any fee reminders or records of disputes about fees.
- ❖ Child's development, health and well-being - including a summary only of the child's EYFS profile report, a record of discussions about every day matters about the child's development health and well-being with the parent.
- ❖ Early Support - including any additional focussed intervention provided by our setting (e.g., support for behaviour, language or development that needs an SEN action plan) and records of any meetings held.
- ❖ Welfare and child protection concerns - including records of all welfare and protection concerns, and our resulting action, meetings and telephone conversations about the child, an Education, Health and Care Plan and any information regarding a Looked After Child.
- ❖ Correspondence and Reports - including a copy of the child's 2-Year-Old Progress Check (as applicable), all letters and emails to and from other agencies and any confidential reports from other agencies.
- ❖ These confidential records are stored in a lockable file or cabinet, which is always locked when not in use and which the Owner/Manager keeps secure in an office or other suitably safe place.
- ❖ We read any correspondence in relation to a child, note any actions and file it immediately.
- ❖ We ensure that access to children's files is restricted to those authorised to see them and make entries in them, this being the Owner/Manager, Deputy Manager or designated person for child protection, the child's key person, or other staff as authorised by the Manager.

- ❖ We may be required to hand children's personal files to Ofsted as part of an inspection or investigation process; or to local authority staff conducting a S11 audit, as long as authorisation is seen. We ensure that children's personal files are not handed over to anyone else to look at.
- ❖ Parents have access, in accordance with our Privacy Notice, Confidentiality and Client Access to Records Policy, to the files and records of their own children, but do not have access to information about any other child.
- ❖ Our staff will not discuss personal information given by parents with other members of staff, except where it affects planning for the child's needs. Our staff induction programme includes an awareness of the importance of confidentiality in the role of the key person.
- ❖ We retain children's records for three years after they have left the setting; except records that relate to an accident or child protection matter, which are kept until a child reaches the age of 21 years or 24 years respectively. These are kept in a secure place.

Archiving children's files

- ❖ When a child leaves our setting, we will remove all paper documents from the child's personal file and place them in a robust envelope, with the child's name and date of birth on the front and the date they left. We will place it in an archive box, stored in a safe place (i.e., a locked cabinet) for three years. After three years, or the appropriate time frame detailed above, it is destroyed.
- ❖ If data is kept electronically it is encrypted and stored as above.
- ❖ Where there were s.47 child protection investigations, we will mark the envelope with a star and archive it for 25 years.
- ❖ We store financial information according to our finance procedures.

Other records

- ❖ We keep a daily record of the names of the children we are caring for, their hours of attendance and the names of their key person.
- ❖ Students on Pre-school Learning Alliance or other recognised qualifications and training, when they are observing in the setting, are advised of our Confidentiality and Client Access to Records Policy and are required to respect it.

Monitoring and Review

It is the role of the Owner/Manager and all Staff to ensure that this policy is fully implemented.

This policy links in with the following EYFS key themes and commitments:

A Unique Child	Positive Relationships	Enabling Environments	Learning and Development
1.1 Child Development 1.2 Inclusive Practice 1.3 Keeping Safe 1.4 Health and well-being	2.1 Respecting each other 2.2 Parents as Partners 2.3 Supporting Learning 2.4 Key Person	3.1 Observation, Assessment and Planning 3.2 Supporting every child 3.3 The Learning Environment 3.4 The Wider Context	

This policy was written and effected by Sharon Bennett, Owner of Laugh and Learn Pre-School after discussion and review with staff and parents in the Spring Term of 2026.

Signed: *Sharon Bennett*

Dated: February 2026

This policy will be reviewed in the Spring Term of 2028